# **Trenton Kohlman**

#### **Criminal Arrest**

DATE: May 31, 2002 DEFENDANT: Trenton Kohlman of Strong City, Kansas

CHARGES: Trenton Kohlman arrested for charges of unlawful acts in connection with the sale of securities and theft by deception.

COURT: Harvey County, Kansas

## **Disposition of Criminal Case**

DATE: July 24, 2002 DEFENDANT: Trenton Kohlman of Strong City, Kansas

DISPOSITION: On July 24, 2002 in front of Judge Walker, defendant Trenton Kohlman entered into a plea agreement to one count of sale of securities by an unregistered broker-dealer. Included in the plea agreement was a \$26,000 restitution order. Also, Kohlman consented to an administrative order to permanently bar him from associating with any broker-dealer or investment advisor registered in Kansas.

COURT: Harvey County, Kansas

### Sentencing

DATE: October 1, 2002 DEFENDANT: Trenton Kohlman of Strong City, Kansas

SENTENCE: Kohlman was sentenced on October 1, 2002 to 24 months probation and ordered to pay \$26,000 in restitution.

COURT: Harvey County, Kansas

#### **Consent Order**

DATE: October 2, 2002 RESPONDENT: Trenton Kohlman of Strong City, Kansas

ORDER: Respondent entered into a Consent Order whereby Respondent agrees to be barred from association with any broker-dealer or investment adviser registered under the Kansas Securities Act

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the <u>North American</u>

<u>Securities Administrators Association</u> website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.